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attorneys and counselors ■ [www.gardere.com](http://www.gardere.com)

Direct 214-999-4559  
Direct Fax 214-999-3559  
Eflores@gardere.com

July 31, 2002

Commissioner for Patents  
Washington, D.C. 20231



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Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, Washington, D.C. 20231 on July 31, 2002.

Signature

Anne Ziegler

Typed or printed name of person signing certificate

Re: Inventor(s): Andrew D. Ellington, Jay Hasselberth, Kristin A. Marshall, Michael P. Robertson, Letha Sooter, Eric Davidson, J. Colin Cox, Timothy Reidel  
U.S. Patent Application Entitled: REGULATABLE, CATALYTICALLY ACTIVE NUCLEIC ACIDS  
Serial No.: 09/883,119  
Filing Date: June 14, 2001  
Our File No.: 119927-1050

Dear Sir:

Enclosed for **filing** in connection with the above-referenced patent application are the following documents:

1. **Supplemental** Information Disclosure Statement (3 pp.);
2. **Form PTO 1449** (2 pp.) with six (6) references cited on International Search Report; and
3. **Return Postcard.**

Please file the above-referenced documents and return the date-stamped postcard to our office at the above address. It is believed that no additional fees are due. If this is incorrect, the Commissioner is hereby authorized to charge any fees due which may be required by this paper to our Deposit Account No. 07-0153.

In the meantime, if you have any questions or comments concerning any of the above, please call the undersigned at your convenience. Otherwise, please accept the enclosed.

Respectfully submitted,  
Gardere Wynne Sewell LLP

Edwin S. Flores  
Registration No.: 38,453  
ESF:eaz

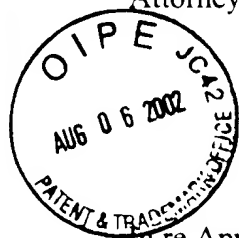
Enclosures

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Attorney Docket No.: 119927-1050

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PATENT



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Andrew D. Ellington, Jay Hesselberth, Kristin A. Marshall, Michael P. Robertson, Letha Sooter, Eric Davidson, J. Colin Cox, and Timothy Reidel

Serial Number: 09/883,119

Filing Date: June 14, 2001

Group Art Unit: 1653

Examiner: N/A

Application Title: REGULATABLE, CATALYTICALLY ACTIVE NUCLEIC ACIDS

Commissioner for Patents  
Washington, D.C. 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

As listed on the accompanying form PTO-1449, submitted herewith are copies of the indicated references which applicants believe may be material to the examination of the above-identified application, and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56.

The filing of this supplemental information disclosure statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Further, the filing of this supplemental information disclosure statement shall not be construed as an admission against interest in any manner. Written notification that the enclosed references have been considered in their entirety by return of a copy of the enclosed form, completed by the Examiner, is respectfully requested.

IDENTIFICATION OF TIME OF FILING THE  
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

- ☐ This Supplemental Information Disclosure Statement is being filed within three months of the filing date of the national application.
- ☐ This Supplemental Information Disclosure Statement is being filed within three months of the date of entry of the national stage as set forth in, §1.491 in an international application.

- ☒ This Supplemental Information Disclosure Statement is being filed before the mailing date of the First Office Action on the merits.
- ☐ This Supplemental Information Disclosure Statement is being filed after three months of the filing date of the national application or after three months of the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office Action on the merits, but before the mailing date of either a final action under § 1.113 or a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application.

Accompanying this transmittal is:

- ☐ a statement specified in 37 CFR § 1.97(e); or
- ☐ a fee set forth in 37 CFR § 1.17(p)
- ☐ This Supplemental Information Disclosure Statement is being filed after the mailing date of a final action under § 1.113, or a notice of allowance under § 1.311 but before the payment of the issue fee.

Accompanying this transmittal is:

1. a statement as specified in 37 CFR § 1.97(e); and
2. a fee set forth in 37 CFR § 1.17(p)

STATEMENT FOR SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT 37 CFR 1.97(e)  
(Only if Required Above)

I, the person(s) signing below hereby states that:

- ☐ each item of information contained in the supplemental information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the supplemental information disclosure statement. 37 CFR § 1.97(e)(1); or
- ☐ no item of information contained in the supplemental information disclosure statement was cited in a communication from a foreign patent office in the counterpart foreign application to the knowledge of the person signing the certification after making reasonably inquiry, no item of information contained in the supplemental information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the supplemental information disclosure statement. 37 CFR § 1.97(e)(2).

FEE PAYMENT

☐ Attached is a check in the amount of

\$

☐ charge Deposit Account No. 07-0153  
in the amount of

\$

Applicants believe no additional fees are due for the filing of this Supplemental Information Disclosure Statement. However, if any additional fees are due, or any overpayments have been made, please charge, or credit, Deposit Account No. 07-0153.

Dated: July 31, 2002



Edwin S. Flores  
Attorney for Applicants  
Registration No.: 38,453

GARDERE WYNNE SEWELL LLP  
3000 Thanksgiving Tower  
1601 Elm Street  
Dallas, TX 75201-4761  
(214) 999-4559  
(214) 999-3559 (FAX)

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